



Factsheet

Membership

42 Full Members:

- 28 UK institutions, 14 International firms
- 23 large firms, 12 boutiques, 7 asset owners
- 20 provided a 3 year underwriting commitment until June 2019.
- ~£14.1trn in Global AUM
- Represents approximately 29% of the FTSE All-Share market cap.

Engagements since inception (Jan 2015 – Sept 2018)

- Members have proposed 37 companies for collective engagement:
- We have engaged with the Boards of 23 companies
- Range of market cap – AIM-listed to FTSE30

Engagement participation

- Range of participants: 2 – 23
- Market cap of company represented: 7% - 50%

S-360 projects

- Working practices in the Apparel (2017) and Food Retail sector (2018)
- BankingFutures dialogue with Leaders Quest, Meteos and UK Finance
- Takeover Panel teach-in for investors (2018)
- Stewardship in Fixed Income (2017)

Investor Forum team

- 8 members of the Executive team
- 6 with 15 years + buy side investment experience
- 2 with complementary capital market experience
- 13 person Board – investors, chairs, industry experience

Legal Framework

- 4 firm panel (Davis Polk, Hogan Lovells, Macfarlanes, Skadden)
- Developed the Collective Engagement Framework (October 2016)
- Provide pro bono legal input



Previously reported engagement activity

2017 COLLECTIVE ENGAGEMENT DASHBOARD

	Governance (by the Board)					Execution (by the Management team)		
	Strategy	Leadership and Succession	Capital Allocation	Corporate Governance	Corporate Action	Operational performance	Management Information	Reporting and Communication
Amerisur		✓		✓				✓
BT	✓	✓	✓	✓		✓	✓	
Eco Animal Health		✓		✓				
IP Group					✓			
LSE		✓		✓				
Rio Tinto		✓						
Worldpay					✓			

2015/6:

COMPREHENSIVE COLLECTIVE ENGAGEMENT DASHBOARD

	Governance (by the Board)					Execution (by the Management team)		
	Strategy	Leadership and Succession	Capital Allocation	Corporate Governance	Corporate Action	Operational performance	Management Information	Reporting and Communication
Standard Chartered		✓	✓	✓		✓		✓
Tate and Lyle		✓	✓			✓	✓	✓
Sports Direct	✓	✓	✓	✓		✓	✓	✓
Rolls-Royce	✓	✓	✓	✓		✓	✓	✓
RDS/BG	✓		✓		✓			✓
Cobham	✓	✓	✓	✓	✓	✓	✓	✓
Mitie	✓	✓				✓		✓

Press comment

- Sports Direct – August 2016
- Worldpay Plc – August 2017
- Rio Tinto Plc – August 2017
- Unilever Plc – October 2018

October 2018